

01-18-00

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Patent  
249/178 6646-114N7)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 249/178  
First Named Inventor: Ronald A. Katz  
Prior Application Information:  
Serial No. 08/306,456  
Examiner: Woo, S.  
Art Unit: 2743

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D. C. 20231

FILING UNDER 37 CFR § 1.53(b)

This is a request for filing for a

☒ continuation      ☐ divisional      ☐ continuation-in-part (CIP)

application under 37 CFR § 1.53(b) of pending prior application Serial No. 08/306,650 filed on September 14, 1994, which is a continuation of application Serial No. 08/058,452 filed on May 7, 1993, now U.S. Patent No. 5,359,645, which is a continuation of application Serial No. 07/680,879 filed on April 5, 1991, now U.S. Patent No. 5,224,153, which is a continuation-in-part of application Serial No. 07/481,403 filed on February 20, 1990, now U.S. Patent No. 5,014,298, which is a continuation-in-part of application Serial No. 07/312,792 filed on February 21, 1989, now U.S. Patent No. 5,073,929, which is a continuation-in-part of application Serial No. 07/194,258 filed on May 16, 1988, now U.S. Patent No. 4,845,739, which is a continuation-in-part of application Serial No. 07/018,244 filed on February 24, 1987, now U.S. Patent No. 4,792,968, which is a continuation-in-part of application Serial No. 06/753,299 filed on July 10, 1985, now abandoned;

Also said application Serial No. 08/306,456 is directly a continuation-in-part of Application Serial No. 07/335,923 filed on April 10, 1989, which is a continuation of Application Serial No. 07/194,258 filed on May 16, 1988, now U.S. Patent No. 4,845,739, which is a continuation-in-part of Application Serial No. 07/018,244 filed on February 24, 1987, now U.S. Patent No. 4,792,968, which is a continuation-in-part of Application Serial No. 06/753,299 filed on July 10, 1985, now abandoned,. The benefit of the earlier filing dates in the United States is claimed under 35 U.S.C. § 120, by

CERTIFICATE OF MAILING  
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

EL360206265US  
Express Mail Label No.

January 13, 2000  
Date of Deposit

LA-126724.1

Connie Kwon  
Name of Person Mailing Paper  
Connie Kwon  
Signature of Person Mailing Paper

jc571 U.S. PTO  
01/13/00

jc675 U.S. PTO  
09/483277  
01/13/00

09483277 011300

RONALD A. KATZ, entitled:

**VOICE-DATA TELEPHONIC INTERFACE CONTROL SYSTEM**

For CONTINUATION or DIVISION APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied, referenced above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**I. APPLICATION ELEMENTS ENCLOSED**

- 30 Page(s) of Written Description  
7 Page(s) of Claims  
1 Page(s) of Abstract  
3 Sheet(s) of Drawings ☐ formal ☒ informal  
3 Page(s) of ☒ Declaration or ☐ Declaration and Power of Attorney  
☒ Copy from prior application [37 CFR § 1.63(d)]  
☐ Newly executed  
2 Page(s) of Power of Attorney by Assignee from Aldo Tesi  
2 Page(s) of Power of Attorney by Assignee from Ronald A. Katz  
2 Page(s) of subsequent Revocation and Grant of Power of Attorney
- ☐ Assignment papers (cover sheet and documents(s))  
☐ An Information Disclosure Statement, PTO 1449, ☐ with copies of cited items.  
☐ A Verified Statement to establish small entity under 37 CFR §§ 1.9 and 1.27: ☐ Is attached. ☐ Has been filed in the prior application and such status is still proper and desired. [37 CFR § 1.28(a)]

**II. FEE CALCULATION**

<b>BASIC FILING FEE:</b>							\$690.00
Total Claims	25	-	20	=	5	x \$18.00	\$90.00
Independent Claims	25	-	3	=	22	x \$78.00	\$1,716.00
Multiple Dependent Claims	\$260	(if applicable)				<input type="checkbox"/>	\$0.00
<b>TOTAL OF ABOVE CALCULATIONS</b>							\$2,496.00
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. If applicable, Verified Statement must be attached.							<input type="checkbox"/> \$0.00
Misc. Filing Fees (Recordation of Assignment)							\$0.00
<b>TOTAL FEES DUE HERewith</b>							\$2,496.00

III. PRIORITY - 35 USC § 119

- ☐ Priority of application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 USC § 119.
- ☐ The certified copy has been filed in prior U.S. application Serial No. \_\_\_\_\_ on \_\_\_\_\_.
- ☐ The certified copy will follow.

IV. AMENDMENTS

- ☒ Cancel in this application original Claims 2-21 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes if no new claims are added in a preliminary amendment.)
- ☒ A Preliminary Amendment is enclosed. (Claims added by Amendment must be numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

V. RELATE BACK - 35 USC § 120

- ☐ Relate back information included in preliminary amendment or specification.
- ☒ Please amend the specification as follows: On page 1, delete lines 5-21 and insert as follows:

--This application is a continuation of application Serial No. 08/306,650 filed on September 14, 1994, which is a continuation of application Serial No. 08/058,452 filed on May 7, 1993, now U.S. Patent No. 5,359,645, which is a continuation of application Serial No. 07/680,879 filed on April 5, 1991, now U.S. Patent No. 5,224,153, which is a continuation-in-part of application Serial No. 07/481,403 filed on February 20, 1990, now U.S. Patent No. 5,014,298, which is a continuation-in-part of application Serial No. 07/312,792 filed on February 21, 1989, now U.S. Patent No. 5,073,929, which is a continuation-in-part of application Serial No. 07/194,258 filed on May 16, 1988, now U.S. Patent No. 4,845,739, which is a continuation-in-part of application Serial No. 07/018,244 filed on February 24, 1987, now U.S. Patent No. 4,792,968, which is a continuation-in-part of application Serial No. 06/753,299 filed on July 10, 1985, now abandoned.

Also said application Serial No. 08/306,456 is directly a continuation-in-part of Application Serial No. 07/335,923 filed on April 10, 1989, which is a continuation of Application Serial No. 07/194,258 filed on May 16, 1988, now U.S. Patent No. 4,845,739, which is a continuation-in-part of Application Serial No. 07/018,244 filed on February 24, 1987, now U.S. Patent No. 4,792,968, which is a continuation-in-part of Application Serial No. 06/753,299 filed on July 10, 1985, now abandoned. The benefit of the earlier filing dates in the United States is claimed under 35 U.S.C. § 120.--

- ☒ With respect to the prior co-pending U.S. application from which this application claims benefit under 35 USC § 120, the inventor(s) in this application is (are) [37 CFR 1.53(b)(1)]:

- ☒ the same.
- ☐ less than those named in the prior application and it is requested that the following inventor(s) identified above for the prior application be deleted [see 37 CFR §§1.33(b) AND 1.63(d)(2)]:

[Name(s) of inventor(s) to be deleted]

**VI. FEE PAYMENT BEING MADE AT THIS TIME**

- ☐ Not attached. No filing fee is submitted. [This and the surcharge required by 37 CFR § 1.16(e) can be paid subsequently.]
- ☒ Attached.

- |   |                   |
|---|-------------------|
| <input checked="" type="checkbox"/> Filing fees.  | <u>\$2,496.00</u> |
| <input type="checkbox"/> Recording assignment. [\$40.00 37 CFR § 1.21(h)(1)]  | —                 |
| <input type="checkbox"/> Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached.<br>[\$130.00; 37 CFR §§ 1.47 and 1.17(h)] | —                 |
| <input type="checkbox"/> Petition fee to Suspend Prosecution for the Time Necessary to File an Amendment (New Application Filed Concurrently.)<br>[\$130.00; 37 CFR §§ 1.103 and 1.17(i)]                           | —                 |
| <input type="checkbox"/> For processing an application with a specification in a non-English language.<br>[\$130.00; 37 CFR §§ 1.52(d) and 1.17(k)]   | —                 |
| <input type="checkbox"/> Processing and retention fee.<br>[\$130.00; 37 CFR §§ 1.53(f) and 1.21(l)]   | —                 |

**Total Fees Enclosed    \$2,496.00**

**VII. METHOD OF PAYMENT OF FEES**

- ☐ Attached is a check in the amount of \_\_\_\_\_.
- ☒ Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of \$2,496.00.

**VIII. AUTHORIZATION TO CHARGE ADDITIONAL FEES**

The Commissioner is hereby authorized to credit Lyon & Lyon's Deposit Account No. **12-2475** for any over payment of fees and to charge the following additional fees by this paper and during the entire pendency of this application to Deposit Account No. **12-2475**:

- ☒ 37 CFR § 1.16 (Filing fees and excess claims fees)
- ☒ 37 CFR § 1.17 (Application processing fees)
- ☐ 37 CFR § 1.18 (Issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR § 1.311(b))
- ☐ 37 CFR § 1.21 (Assignment recordation fees)

**IX. POWER OF ATTORNEY & CORRESPONDENCE ADDRESS**

- ☒ The power appears in the original papers in the prior application.
- ☐ The power does not appear in the original papers, but was filed on \_\_\_\_\_ in prior application Serial No. \_\_\_\_\_.
- ☐ A new power has been executed and is attached.

Please send all correspondence to Customer Number 22249:



**22249**  
PATENT TRADEMARK OFFICE

LYON & LYON LLP  
Suite 4700  
633 W. Fifth Street  
Los Angeles, CA 90071

Please direct all inquiries to Reena Kuyper, at (213) 489-1600.

**X. MAINTENANCE OF CO-PENDENCY OF PRIOR APPLICATION**

- ☐ A petition, fee and response has been filed to extend the term in the pending **prior** application until \_\_\_\_\_. A copy of the petition for extension of time in the **prior** application is attached.
- ☐ A conditional petition for extension of time is being filed in the pending **prior** application. A copy of the conditional petition for extension of time in the **prior** application is attached.

**XI. ABANDONMENT OF PRIOR APPLICATION**

- ☐ Please abandon the prior application at a time while the prior application is pending or when the petition for extension of time or to revive in that application is granted and when this application is granted a filing date so as to make this application co-pending with said prior application. At the same time, please add the words "now abandoned" to the amendment of the specification set forth in Item V above.

Dated: January 13, 2000

Enclosures

Ronald A. Katz Technology Licensing, L.P.  
Name of Assignee

9200 Sunset Boulevard, Suite 1005, Los Angeles, California 90069  
Address of Assignee

Assignment recorded in PTO on September 26, 1994, Reel 7133, Frame 090-100

Respectfully submitted,  
LYON & LYON LLP  
By: Reena Kuyper  
Reena Kuyper  
Reg. No. 33,830